



## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Jürgen Claus et al.

Appln. No.: 10/579,189

Filed: May 15, 2006

For: INTERNAL COMBUSTION ENGINE COMPONENT AND METHOD FOR THE

PRODUCTION THEREOF

Attorney Docket No.: 3926.271

Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 op July 17, 2006.

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 and §1.98

**Mail Stop Amendment** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of any non-US documents set forth below and listed on the attached Form PTO/SB/08A, copy of the International Search Report, a German Office communication dated July 26, 2004 and a German Office communication dated March 31, 2006 issued in the corresponding applications are provided herewith.

{WP319310;1}

- 1. US 4,798,770
- 2. EP 0 837 235 A1 (in English)
- 3. JP 4-272455 A (English abstract is provided)
- 4. EP 0 092 683 A1 (in English)
- 5. EP 1 048 825 A1 (English abstract is provided)
- 6. US 5, 224, 997
- 7. DE 197 21 406 A1 (its English-language equivalent application GB 2 313 651 A is provided) .
- 8. DT 2 027 649 (explanation of relevancy is provided)
- 9. DE 43 28 619 C2 (English abstract is provided)
- 10. DE 34 30 056 C1 (has English-language equivalent application US 4,662,326)
- 11. DE 690 21 614 T2 (English abstract is provided)
- 12. DE 199 02 864 A1 (English abstract is provided)

Documents 1-7 were cited in the International Search Report. Documents 8-11 were cited in the German Office communication dated July 26, 2004. Document 12 was cited in the German Office communication dated March 31, 2006.

No English translation or abstract for **Document 8** is readily available. It is stated in the German Office communication dated July 26, 2004 that Document 8 discloses a component of an internal combustion engine (Figures 1 and 2; claim 1) having at least one area which is thermally more highly stressed than another area during the operation of the internal combustion engine (Figures 1 and 2; position 2 "piston bottom" and position 3 "orifice edge of the combustion chamber"; claims 1 and 2) wherein the thermally highly stressed area has smaller thermal expansion coefficient than the thermally less stressed area (paragraph 3 on page 3 of the specification). Therefore, it is stated in the German Office communication dated July 26, 2004 that original claim 1 of the present invention is not patentable. It is further stated that claims 2-4 {wp319310;1}

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Information Disclosure Statement

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and 7-8 of the present invention are disclosed by Document 8. However, the claims have been

amended in response to the German Office communication and the claims pending in the instant

application are the amended version. Document 8 is no longer relevant to the amended claims.

Since the present Information Disclosure Statement is being filed before the mailing date

of the first office Action, no certificate under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p)

is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner

and be made of record in the present application and that an initialed copy of Form PTO/SB/08A

be returned in accordance with MPEP §609.

Respectfully submitted,

Date: July 17, 2006

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PTO/SB/08A (07-05)

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

Complete if Known			
Application Number	10/579,189		
Filing Date	May 15, 2006		
First Named Inventor	Jürgen Claus et al.		
Art Unit			
Examiner Name			
Attorney Docket Number	3926.271		

				DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4,798,770	01-17-1989	Donomoto et al.	
		<sup>US-</sup> 5, 224, 997	07-06-1993	Grilloud et al.	
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		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
		EP 0 837 235 A1	04-22-1998	TOYOTA JIDOSHA KABUSHIKI KAISHA	_	
		JP 4-272455 A	09-29-1992	ISUZU MOTORS LTD		
		EP 0 092 683 A1	11-02-1983	FIAT AUTO S.P.A.		
		EP 1 048 825 A1	11-02-2000	BAYRISCHE MOTOREN WERKE AG		
		DE 197 21 406 A1	12-04-1997	NIPPON PISTON RING CO., LTD		
		DT 2 027 649	12-09-1971	KARL SCHMIDT GMBH		

Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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servork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form	1449/PTO	Complete if Known		
	1445/110	Application Number	10/579,189	
INFORM	ATION DISCLOSURE	Filing Date	May 15, 2006	
	ATION DISCLOSURE	First Named Inventor	Jürgen Claus et al.	
STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit		
		Examiner Name		
eet 2	of 2	Attorney Docket Number	3926.271	

of 2

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
		DE 43 28 619 C2	10-08-1995	PEAK WERKSTOFF GMBH		
		DE 34 30 056 C1	01-16-1986	MAHLE GMBH		
		DE 690 21 614 T2	01-18-1996	FIAT AUTO S.P.A.		
		DE 199 02 864 A1	06-29-2000	DAIMLERCHRYSLER AG		

Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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